

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on financial year ended on 31.03.2017
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS:

i	CIN	U25200GJ2004PLC045239
ii	Registration Date	31st December, 2004
iii	Name of the Company	Oswal Extrusion Limited
iv	Category/Sub-category of the Company	Manufacturing Company
v	Address of the Registered office & contact details	13, H.B. Jirawla House, Navbharat Society, Near Panchsheel Bus-Stop, Usmanpura, Ahmedabad - 380013 Ph No.079 27550764
vi	Whether listed company	No
vii	Name , Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Pvt. Ltd., E - 2/ 3, Ansa Industrial Estate, Saki Vihar Road, Saki Naka, Andheri (E), Mumbai - 400072, Phone No. 022 400072

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Manufacture of plastic articles for the packing of goods (plastic bags, sacks, containers, boxes, cases, carboys, bottles etc.)	Division-22/ Group-222/ Class- 2220/ Sub class - 22203	100.00

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

SI No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
NIL	NIL	NIL	NIL	NIL	NIL

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	0	547344	547344	23.41	0	547344	547344	23.41	0.00
b) Central Govt.or State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corporates	1758174	0	1758174	75.22	1758174	0	1758174	75.22	0.00
d) Bank/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL:(A) (1)	1758174	547344	2305518	98.63	1758174	547344	2305518	98.63	0.00
(2) Foreign									
a) NRI- Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other...	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (A) (2)	0	0	0	0.00	0	0	0	0.00	0.00
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	1758174	547344	2305518	98.63	1758174	547344	2305518	98.63	0.00
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	0	0	0	0.00	0	0	0	0.00	0.00
b) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
C) Cenntral govt	0	0	0	0.00	0	0	0	0.00	0.00
d) State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Fund	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
g) FIIS	0	0	0	0.00	0	0	0	0.00	0.00
h) Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
i) Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(1):	0	0	0	0.00	0	0	0	0.00	0.00
(2) Non Institutions									
a) Bodies corporates									
i) Indian	0	0	0	0.00	0	0	0	0.00	0.00
ii) Overseas	0	0	0	0.00	0	0	0	0.00	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	0	0	0	0.00	0	0	0	0.00	0.00
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	0	31856	31856	1.36	0	31856	31856	1.36	1.36
c) Others (NRI)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(2):	0	31856	31856	1.36	0	31856	31856	1.36	0.00
Total Public Shareholding (B)= (B)(1)+(B)(2)	0	31856	31856	1.36	0	31856	31856	1.36	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
Grand Total (A+B+C)	1758174	579200	2337374	100.00	1758174	579200	2337374	100.00	0.00

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	0	7040000	7040000	100.00	0	7040000	7040000	100.00	0.00
b) Central Govt.or State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corporates	0	0	0	0.00	0	0	0	0.00	0.00
d) Bank/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL:(A) (1)	0	7040000	7040000	100.00	0	7040000	7040000	100.00	0.00
(2) Foreign									
a) NRI- Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other...	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (A) (2)	0	0	0	0.00	0	0	0	0.00	0.00
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	0	7040000	7040000	100.00	0	7040000	7040000	100.00	0.00
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	0	0	0	0.00	0	0	0	0.00	0.00
b) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
c) Cenntal govt	0	0	0	0.00	0	0	0	0.00	0.00
d) State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Fund	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
g) FIIS	0	0	0	0.00	0	0	0	0.00	0.00
h) Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
i) Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(1):	0	0	0	0.00	0	0	0	0.00	0.00
(2) Non Institutions									
a) Bodies corporates									
i) Indian	0	0	0	0.00	0	0	0	0.00	0.00
ii) Overseas	0	0	0	0.00	0	0	0	0.00	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	0	0	0	0.00	0	0	0	0.00	0.00
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	0	0	0	0.00	0	0	0	0.00	0.00
c) Others (NRI)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(2):	0	0	0	0.00	0	0	0	0.00	0.00
Total Public Shareholding (B)= (B)(1)+(B)(2)	0	0	0	0.00	0	0	0	0.00	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
Grand Total (A+B+C)	0	7040000	7040000	100.00	0	7040000	7040000	100.00	0.00

(ii) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Siddharth Parekh	60816 (DVR) & 1760000 Pref. Shares	19.42	0	60816 (DVR) & 1760000 Pref. Shares	19.42	0	0.00
2	Siddharth Parekh HUF	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00
3	Manjudevi Parekh	60816 (DVR) & 1760000 Pref. Shares	19.42	0	60816 (DVR) & 1760000 Pref. Shares	19.42	0	0.00
4	Shantilal Parekh HUF	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00
5	Manisha Parkeh	60816 (DVR) & 1760000 Pref. Shares	19.42	0	60816 (DVR) & 1760000 Pref. Shares	19.42	0	0.00
6	Shantilal Parekh	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00
7	Sushama Parekh	60816 (DVR) & 1760000 Pref. Shares	19.42	0	60816 (DVR) & 1760000 Pref. Shares	19.42	0	0.00
8	Mahi Parkeh (Through Gaurdian Sushama Parkeh)	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00
9	Plastene India Limited	1758174	18.75	0	1758174	18.75	0	0.00
10	Dia Parekh (Through Gaurdian Manisha Parkeh)	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the Company
	At the beginning of the year	9345518	99.66	-	-
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	No Change			99.66
	At the end of the year	-	-	9345518	99.66

(iv) **Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)**

Sl. No	For Each of the Top 10 Shareholders	Shareholding at the end of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	Sanjay Salecha				
	At the beginning of the year	31856	0.34	31856	0.34
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	No Change			
	At the end of the year (or on the date of separation, if separated during the year)			31856	0.34

(v) **Shareholding of Directors & KMP**

Sl. No		Shareholding at the end of the		Cumulative Shareholding during	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
1	Mr. Siddharth Parekh				
	At the beginning of the year	60816 DVR Shares & 1760000 Pref. Shares	19.42		
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	NO Change			
	At the end of the year			60816 DVR Shares & 1760000 Pref. Shares	19.42

Sl. No		Shareholding at the end of the		Cumulative Shareholding during	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
2	Mr. Haresh Shah				
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	NO Change	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of the		Cumulative Shareholding during	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
3	Mr. Gaurav Suri				
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	No Change	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of the		Cumulative Shareholding during	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
4	Mrs. Meenakshi Dhelriya				
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	0	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of the		Cumulative Shareholding during	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
5	Mr. Chetan Parmar				
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	0	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of the		Cumulative Shareholding during	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
6	Mr. Ashok Sharma				
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	0	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of the		Cumulative Shareholding during	
7	Mr. Sanjeev Mehta	No.of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonuses/sweat equity etc)	0	0	0	0
	At the end of the year	0	0	0	0

V

Indebtedness of the Company including interest outstanding/accrued but not due for payment							
			Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness	
Indebtness at the beginning of the financial year							
i) Principal Amount			1,02,41,09,662	-	-	1,02,41,09,662	
ii) Interest due but not paid					-	-	
iii) Interest accrued but not due				-	-	-	
						-	
Total (i+ii+iii)			1,02,41,09,662	-	-	1,02,41,09,662	
						-	
Change in Indebtedness during the financial year						-	
Additions			4,37,52,322	3,10,00,000	-	7,47,52,322	
Reduction			9,95,63,635	-	-	9,95,63,635	
Net Change			-5,58,11,314	3,10,00,000	-	-2,48,11,314	
Indebtedness at the end of the financial year						-	
i) Principal Amount			96,82,98,349	3,10,00,000	-	99,92,98,349	
ii) Interest due but not paid						-	
iii) Interest accrued but not due			13,24,068	-		13,24,068	
						-	
Total (i+ii+iii)			96,96,22,416	3,10,00,000	-	1,00,06,22,416	

VI

A.

Sl.No	Particulars of Remuneration			Name of the MD/WTD/Manager			Total Amount	
1	Gross salary			Mr. Siddharth Parekh	Whole time Director			
	(a) Salary as per provisions contained in section 17(1) of the Income Tax. 1961.			2400000			-	2400000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961							
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961							
2	Stock option							
3	Sweat Equity							
4	Commission							
	as % of profit							
	others (specify)							
5	Others, please specify							
	Total (A)			2400000			-	2400000
	Ceiling as per the Act		5% of net profit calculated as per Section 198 of the Companies Act, 2013					

B. Remuneration to other directors:

Sl.No	Particulars of Remuneration	Name of the Directors			Total Amount	
1	Independent Directors					
	(a) Fee for attending board committee meetings					
	(b) Commission					
	(c) Others, please specify					
	Total (1)					
2	Other Non Executive Directors	Mr. Haresh Shah			1080000	
	(a) Fee for attending board committee meetings					
	(b) Commission					
	(c) Others, please specify.					
	Total (2)					
	Total (B)=(1+2)					
	Total Managerial Remuneration					
	Overall Cieling as per the Act.				1080000	

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel				Total
1	Gross Salary	CEO	Company Secretary	CFO	Total	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.		Mr. Chetan Parmar 2,42,000	-	2,42,000	2,42,000
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961					
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961					
2	Stock Option					
3	Sweat Equity					
4	Commission					
	as % of profit					
	others, specify					
5	Others, please specify					
	Total		2,42,000		2,42,000	2,42,000

VII **PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES**

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
	-	-		-	-
A. COMPANY					
Penalty	-	-	NIL	-	-
Punishment	-	-	NIL	-	-
Compounding	-	-	NIL	-	-
B. DIRECTORS					
Penalty	-	-	NIL	-	-
Punishment	-	-	NIL	-	-
Compounding	-	-	NIL	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	NIL	-	-
Punishment	-	-	NIL	-	-
Compounding	-	-	NIL	-	-

For and on behalf of Board of Directors

For and on behalf of Board of Directors

Siddharth Parekh
Whole time Director
DIN:01688066

Ashok sharma
Director
DIN:07147046

Date : 30/09/2017
Place : Ahmedabad