

FORM NO. MGT 9

as on financial year ended on 31st March, 2018

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

REGISTRATION & OTHER DETAILS:

REGISTRATION & OTHER DETAILS:		
i	CIN	U25200GJ2004PLC045239
ii	Registration Date	31st December, 2004
iii	Name of the Company	Oswal Extrusion Limited
iv	Category/Sub-category of the Company	Manufacturing Company
v	Address of the Registered office & contact details	H.B. Jirawala House, 13 Navbharat Society, Opp. Panchsheel Bus Stop, Usmanpura, Ahmedabad 380013 contact No.079 27550764
vi	Whether listed company	No
vii	Name , Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Pvt. Ltd., E - 2/ 3, Ansa Industrial Estate, Saki Vihar Road, Saki Naka, Andheri (E), Mumbai - 400072, Phone No. 022 400072

II	PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY
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All the business activities contributing 10% or more of the total turnover of the company shall be stated

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Manufacture of plastic articles for the packing of goods (plastic bags, sacks, containers, boxes, cases, carboys, bottles etc.)	22203.00	70.62
2	Whole Sale Trade	46693	29.38

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

Sl No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
1	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable

SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	0	547344	547344	23.41	0	547344	547344	23.41	0.00
b) Central Govt.or State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corporates	1758174	0	1758174	75.23	1758174	0	1758174	75.23	0.00
d) Bank/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL:(A) (1)	1758174	547344	2305518	98.64	1758174	547344	2305518	98.64	0.00
(2) Foreign									
a) NRI- Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other...	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (A) (2)	0	0	0	0.00	0	0	0	0.00	0.00
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	1758174	547344	2305518	98.64	1758174	547344	2305518	98.64	0.00
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	0	0	0	0.00	0	0	0	0.00	0.00
b) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
c) Cenntral govt	0	0	0	0.00	0	0	0	0.00	0.00
d) State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Fund	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
g) FIIS	0	0	0	0.00	0	0	0	0.00	0.00
h) Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
i) Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(1):	0	0	0	0.00	0	0	0	0.00	0.00
(2) Non Institutions									
a) Bodies corporates									
i) Indian	0	0	0	0.00	0	0	0	0.00	0.00
ii) Overseas	0	0	0	0.00	0	0	0	0.00	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	0	0	0	0.00	0	0	0	0.00	0.00
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	0	31856	31856	1.36	0	31856	31856	1.36	0.00
c) Others (NRI)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(2):	0	31856	31856	1.36	0	31856	31856	1.36	0.00
Total Public Shareholding (B)= (B)(1)+(B)(2)	0	31856	31856	1.36	0	31856	31856	1.36	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
Grand Total (A+B+C)	1758174	579200	2337374	100.00	1758174	579200	2337374	100.00	0.00

IV SHAREHOLDING PATTERN (Preference Share capital Break up as % to total Preference shares)									
Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	0	7040000	7040000	100.00	0	7040000	7040000	100.00	0.00
b) Central Govt.or State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corporates	0	0	0	0.00	0	0	0	0.00	0.00
d) Bank/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL:(A) (1)	0	7040000	7040000	100.00	0	7040000	7040000	100.00	0.00
(2) Foreign									
a) NRI- Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other...	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (A) (2)	0	0	0	0.00	0	0	0	0.00	0.00
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	0	7040000	7040000	100.00	0	7040000	7040000	100.00	0.00
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	0	0	0	0.00	0	0	0	0.00	0.00
b) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
c) Cenntrol govt	0	0	0	0.00	0	0	0	0.00	0.00
d) State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Fund	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
g) FIIS	0	0	0	0.00	0	0	0	0.00	0.00
h) Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
i) Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(1):	0	0	0	0.00	0	0	0	0.00	0.00
(2) Non Institutions									
a) Bodies corporates									
i) Indian	0	0	0	0.00	0	0	0	0.00	0.00
ii) Overseas	0	0	0	0.00	0	0	0	0.00	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	0	0	0	0.00	0	0	0	0.00	0.00
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	0	0	0	0.00	0	0	0	0.00	0.00
c) Others (NRI)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(2):	0	0	0	0.00	0	0	0	0.00	0.00
Total Public Shareholding (B)= (B)(1)+(B)(2)	0	0	0	0.00	0	0	0	0.00	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
Grand Total (A+B+C)	0	7040000	7040000	100.00	0	7040000	7040000	100.00	0.00

(ii) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Siddharth Parekh	60816 (DVR) & 1760000 Pref. Shares	19.42	0	60816 (DVR) & 1760000 Pref. Shares	19.42	0	0.00
2	Siddharth Parekh HUF	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00
3	Manjudevi Parekh	60816 (DVR) & 1760000 Pref. Shares	19.42	0	60816 (DVR) & 1760000 Pref. Shares	19.42	0	0.00
4	Shantilal Parekh HUF	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00
5	Manisha Parkeh	60816 (DVR) & 1760000 Pref. Shares	19.42	0	60816 (DVR) & 1760000 Pref. Shares	19.42	0	0.00
6	Shantilal Parekh	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00
7	Sushama Parekh	60816 (DVR) & 1760000 Pref. Shares	19.42	0	60816 (DVR) & 1760000 Pref. Shares	19.42	0	0.00
8	Mahi Parkeh (Through Gaurdian Sushama Parkeh)	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00
9	Plastene India Limited	1758174	18.75	0	1758174	18.75	0	0.00
10	Dia Parekh (Through Gaurdian Manisha Parekh)	60816 (DVR)	0.65	0	60816 (DVR)	0.65	0	0.00

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the Company
	At the beginning of the year	9345518	99.66	-	-
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer/ bonus/ sweat equity etc)	No Change			99.66
	At the end of the year	-	-	9345518	99.66

(iv)	Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)				
Sl. No	For Each of the Top 10 Shareholders	Shareholding at the end of the year		Cumulative Shareholding during the year	
	Sanjay Salecha	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	31856	0.34	31856	0.34
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	No Change	-	-	-
	At the end of the year (or on the date of separation, if separated during the year)	-	-	31856	0.34

(v)	Shareholding of Directors & KMP				
Sl. No		Shareholding at the end of the year		Cumulative Shareholding during the year	
1	Mr. Siddharth Parekh	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	60816 DVR Shares & 1760000 Pref. Shares	19.42	-	-
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	No Change	-	-	-
	At the end of the year	-	-	60816 DVR Shares & 1760000 Pref. Shares	19.42

Sl. No		Shareholding at the end of		Cumulative Shareholding	
2	Mr. Gaurav Suri	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	No Change	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of		Cumulative Shareholding	
3	Mrs. Meenakshi Dhelriya	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	0	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of		Cumulative Shareholding	
4	Mr. Chetan Parmar	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	0	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of		Cumulative Shareholding	
5	Mr. Ashok Sharma	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	0	0	0	0
	At the end of the year	0	0	0	0

Sl. No		Shareholding at the end of		Cumulative Shareholding	
6	Mr. Sanjeev Mehta	No. of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	0	0	0	0
	At the end of the year	0	0	0	0

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment					
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness	
Indebtness at the beginning of the financial year					
i) Principal Amount	96,82,98,349	3,10,00,000	-	99,92,98,349	
ii) Interest due but not paid				-	
iii) Interest accrued but not due	13,24,068	-		13,24,068	
Total (i+ii+iii)	96,96,22,416	3,10,00,000	-	1,00,06,22,416	
Change in Indebtedness during the financial year					
Additions	1,33,38,432	11,33,96,822	-	12,67,35,254	
Reduction	7,38,18,148		-	7,38,18,148	
Net Change	-6,04,79,716	11,33,96,822	-	5,29,17,106	
Indebtedness at the end of the financial year					
i) Principal Amount	90,78,18,633	14,43,96,822	-	1,05,22,15,455	
ii) Interest due but not paid				-	
iii) Interest accrued but not due	12,70,042	-		12,70,042	
Total (i+ii+iii)	90,90,88,675	14,43,96,822	-	1,05,34,85,497	

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

A. Remuneration to Managing Director, whole time director and/or Manager:							
Sl.No	Particulars of Remuneration		Name of the MD/WD/Manager			Total Amount	
1	Gross salary		Mr. Siddharth Parekh	Whole time Director			
	(a) Salary as per provisions contained in section 17(1) of the Income Tax. 1961.		2400000			-	2400000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961		-			-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961		-			-	-
2	Stock option		-			-	-
3	Sweat Equity		-			-	-
4	Commission		-			-	-
	as % of profit		-			-	-
	others (specify)		-			-	-
5	Others, please specify		-			-	-
	Total (A)		2400000			-	2400000
	Ceiling as per the Act	5% of net profit calculated as per Section 198 of the Companies Act, 2013					
B. Remuneration to other directors:							
Sl.No	Particulars of Remuneration		Name of the Directors			Total Amount	
1	Independent Directors		Not Applicable				
	(a) Fee for attending board committee meetings		-	-	-	-	-
	(b) Commission		-	-	-	-	-
	(c) Others, please specify		-	-	-	-	-
	Total (1)		-	-	-	-	-
2	Other Non Executive Directors						
	(a) Fee for attending board committee meetings		-	-	-	-	-
	(b) Commission		-	-	-	-	-
	(c) Others, please specify.		-	-	-	-	-
	Total (2)		-	-	-	-	-
	Total (B)=(1+2)		-	-	-	-	-
	Total Managerial Remuneration						
	Overall Ceiling as per the Act.						

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WT/

Sl. No.	Particulars of Remuneration	Key Managerial Personnel				Total
1	Gross Salary	CEO	Company Secretary:- Mr. Chetan Parmar	CFO	Total	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	-	260000	-	260000	260000
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-	-
2	Stock Option	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-
4	Commission	-	-	-	-	-
	as % of profit	-	-	-	-	-
	others, specify	-	-	-	-	-
5	Others, please specify	-	-	-	-	-
	Total		260000		260000	260000

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
	-	-		-	-
A. COMPANY					
Penalty	-	-	NIL	-	-
Punishment	-	-	NIL	-	-
Compounding	-	-	NIL	-	-
B. DIRECTORS					
Penalty	-	-	NIL	-	-
Punishment	-	-	NIL	-	-
Compounding	-	-	NIL	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	NIL	-	-
Punishment	-	-	NIL	-	-
Compounding	-	-	NIL	-	-

FOR AND ON BEHALF OF THE BOARD OF DIRECTORS

ASHOK SHARMA
DIRECTOR
DIN:07147046

SIDDHARTH PAREKH
WHOLE TIME DIRECTOR
DIN:01688066

DATE : 30/11/2018
PLACE: AHMEDABAD

REGISTERED OFFICE:
H. B. JIRAWALA HOUSE,
13, NAVBHARAT SOCIETY,
OPP. PANCHSHEEL BUS STOP,
USMANPURA, AHMEDABAD-380013
CIN : U25200GJ2004PLC045239